



### **Structured Products Certification Details**

AN ADVISOR TRAINING MODULE MUST BE COMPLETED BEFORE PURCHASING:  
**LPL Resource Center | The Learning Center (Alternatively, Search “Structured Products”)**

CLIENT DOCUMENTATION: **Located in BranchNet/Forms**

REQUIREMENT FOR ALL STRUCTURED NOTES: **F-441**

ADDITIONAL REQUIREMENT FOR NON-PRINCIPAL PROTECTED NOTES: **F-6 Options Agreement**

BEFORE PLACING AN ORDER ENSURE THAT:  
**EACH account includes completed client forms | Each form is reviewed | All forms are on file**

PROCESSING TIME: **Minimum 24-48 Hours | No exceptions**